



IPOL-0001

-035838-021

(formerly 19567-05717)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICANT: Pankaj S. Parekh, et al.

SERIAL NO.: 10/052,328

FILING DATE: January 17, 2002

TITLE: SYSTEM AND METHOD FOR DETECTION OF INTRUSION
ATTACKS ON PACKETS TRANSMITTED ON A NETWORK

EXAMINER: Gail O. Hayes

ART UNIT: 2132

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JUN 03 2004

Technology Center 2100

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

DECLARATION AND CONSENT OF ASSIGNEE

I hereby declare that:

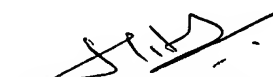
I am authorized to act on behalf of the Assignee, iPolicy Networks, Inc..

The entire title to the patent application identified above is vested in the Assignee as evidenced by the attached Assignment, which was recorded at Reel 012989, Frame 0301.

I hereby revoke any Powers of Attorney previously given in this matter and appoint the following attorneys to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith:

Robert E. Krebs, Registration No. 25,885; David B. Ritchie, Registration No. 31,562; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo, Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami, Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37 C.F.R. §10.9 (b)); and John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703; Hal J. Bohner, Registration No. 27,856

Dated: MAY 14, 2004


Name: Hitesh Shah
Title: Chief Financial Officer
iPolicy Networks, Inc.



UNITED STATES
PATENT AND
TRADEMARK OFFICE



JWS
5417

SEPTEMBER 12, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

FENWICK & WEST LLP
CHRISTOPHER M. TOBIN
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

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FENWICK & WEST LLP



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

CORRECTED
NOTICE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/11/2002

REEL/FRAME: 012989/0301
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PAREKH, PANKAJ S.

DOC DATE: 04/05/2002

ASSIGNOR:
GUPTA, SANDEEP

DOC DATE: 04/15/2002

ASSIGNOR:
MANTANI, VIJAY P.

DOC DATE: 04/12/2002

ASSIGNOR:
RAO, SARRAJU N.

DOC DATE: 04/11/2002

ASSIGNOR:
DESHPANDE, YASHODHAN R.

DOC DATE: 04/10/2002

ASSIGNEE:
IPOLICY NETWORKS, INC.
47467 FREMONT BLVD.
FREMONT, CALIFORNIA 94538

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012989/0301 PAGE 2

SERIAL NUMBER: 10052328
PATENT NUMBER:

FILING DATE: 01/17/2002
ISSUE DATE:

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Form PTO-1595

Rev. 03/01 (modified)

MB No. 0651-0027 (exp 5/31/2002)

To the Honorable Commissioner For Patents

Name of conveying parties:

Pankaj S. Parekh, Sandeep Gupta, Vijay P. Mantani,
Sarraj N. Rao & Yashodhan R. Deshpande

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other:

Execution Date: 4-5-02, 4-15-02, 4-12-02, 4-11-02 &
4-10-02

09-10-2002



102199295

U.S. DEPARTMENT OF COMMERCE

U.S. Patent And Trademark Office

Documents or copy thereof:

2. Name and address of receiving party:

Name: iPolicy Networks, Inc.

Internal Address:

Street Address: 47467 Fremont Blvd.

City: Fremont

State: CA Zip: 94538

Additional name(s) & address(es) attached?

☐ Yes

☒ No

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JUN 03 2004

Application number(s) or patent number(s):

Technology Center 2100

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.: 10/052,328

06/14/2002 SFELEKE1 00000137 10052328

03 FC:581

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s):

Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher M. Tobin, Esq.

Internal Address: Fenwick & West LLP

Street Address: Two Palo Alto Square

City: Palo Alto State: CA Zip Code: 94306

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41): \$40.00

☒ Check Enclosed

☒ Fee Transmittal Enclosed

☐ Charge the indicated fees to the below mentioned deposit account.

8. Deposit Account No.:

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher M. Tobin, Reg. No. 40,290

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, documents: [6]

Mail documents to be recorded with required cover sheet information to: Box Assignment, Commissioner For Patents and Trademarks, Washington, D.C. 20231

Case Docket No.: 19567-05717

19567/05717/DOCS/1272485.

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to iPolicy Networks, Inc., a Delaware corporation, having a place of business at 47467 Fremont Blvd., Fremont, California 94538, ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled **System and Method for Detection of Intrusion Attacks on Packets Transmitted on a Network** ("APPLICATION"), which:

☐ is to be filed herewith

☒ was filed on January 17, 2002, now bearing U.S. application no. 10/052,328; and

2. The entire worldwide right, title, and interest in and to:

(a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all utility, divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), (c) and (d) above; and (e) all right of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Application No.: 10/052,328

Beck

4/5

, 2002

, 2002

} S.S.
}

Notary

, 2002

, 2002

} S.S.
}

Notary

Title of Document: ASSIGNMENT

Re:

Title: System and Method for Detection of Intrusion Attacks on Packets Transmitted on a Network

Filed: January 17, 2002

Application No.: 10/052,328

Name and Signature



PANKAJ S. PAREKH

Date of
Signature

4/5

, 2002

Date Declaration
Executed By This Person

, 2002

State of California
County of Santa Clara} S.S.
}

On _____, before me, _____

[DATE]

[NOTARY PUBLIC]

personally appeared PANKAJ S. PAREKH, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

Name and Signature



SANDEEP GUPTA

Date of
Signature

4/15

, 2002

Date Declaration
Executed By This Person

, 2002

Country of India
City of _____} S.S.
}

On _____, before me, _____

[DATE]

[NOTARY PUBLIC]

personally appeared SANDEEP GUPTA, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary


Title of Document: ASSIGNMENT

Re:

Title: System and Method for Detection of Intrusion Attacks on Packets Transmitted on a Network

Filed: January 17, 2002

Application No.: 10/052,328

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 VIJAY P. MAMTANI	4/12/2002	2002

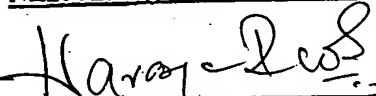
Country of India	} S.S.
City of	}

On _____, before me, _____
[DATE] [NOTARY PUBLIC]

personally appeared VIJAY P. MAMTANI, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 SARRAJU N. RAO	4/11/2002	2002

Country of India	} S.S.
City of	}

On _____, before me, _____
[DATE] [NOTARY PUBLIC]

personally appeared SARRAJU N. RAO, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

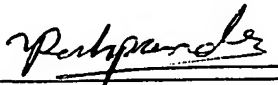
Title of Document: ASSIGNMENT

Re: *

Title: System and Method for Detection of Intrusion Attacks on Packets Transmitted on a Network

Filed: January 17, 2002

Application No.: 10/052,328

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 YASHODHAN R. DESHPANDE	04/10, 2002	, 2002

State of California
County of} S.S.
}On _____, before me, _____
[DATE] [NOTARY PUBLIC]

personally appeared YASHODHAN R. DESHPANDE, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary